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OFFICE OF PETITIONS

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

Patent Application

Inventor(s) Paul Shala Henry
Zhimei Jaing
Hui Luo
Frederick Kenneth Schmidt, Jr.

Application No 10/017533 Confirmation Number 1262
Examiner Not Yet Assigned Group Unit 2673
Attorney Docket No. 2001-0099B Filing Date: October 23, 2001
Title Virtual Single Account Client and Server System for Network Access and Management

THE COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D. C. 20231

Attention: Box DAC

SIR:

PETITION UNDER 37 CFR 1.10(d)

TO ACCORD CORRESPONDENCE PROPER FILING DATE

Applicants hereby respectfully petition the Commissioner for Patents to accord the correspondence a filing date as of the date the correspondence is shown to have been deposited with the United States Postal Service.

The date the correspondence was deposited with the USPS is October 29, 2001. The "date-in" on the "Express Mail" mailing label entered by the United States Postal Service (USPS) was incorrectly entered by the USPS as October 23, 2001.

Applicants became aware that the Office had accorded a filing date based upon an incorrect entry by the USPS when applicants received the Office Filing Receipt on January 22, 2002. Thereafter, applicants attempted to obtain a Corrected Filing Receipt correcting the filing date of the application. The Office, however, informed applicants

that it was unable to correct the filing date because a copy of the Express Mail Mailing Label was missing.

Accordingly, enclosed please find:

1. A copy of the Express Mail Mailing Label EL 924385509 US showing the incorrect "Date In" of 10/23 entered by the USPS.
2. A copy of applicants' Utility Patent Application Transmittal with a Certification as to the Express Mail Mailing Label Number EL924385509US and date of deposit of 10/29/01 certified to and signed by applicants' agent, the person mailing the paper. The Utility Patent Application Transmittal form with U. S. Express Mail Certification was executed on 10/29/2001, making it impossible that the patent application was deposited with the USPS on 10/23/2001, which is a date before the Utility Patent Application Transmittal form and U. S. Express Mail certification were signed.
3. Copies of the Fee Transmittal form, Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) and return post card, all documentation which accompanied applicants' patent application, with the same execution date of 10/29/2001.
4. A copy of the Filing Receipt issued by the Office.
5. A copy of the PTO's Response to the Request for Corrected Filing Receipt.

In view of the foregoing, Applicants respectfully request that the Commissioner accord the above-identified patent application with a correct filing date of October 29, 2001 and that a new Filing Receipt be issued with said date.

The undersigned hereby certifies under penalty of perjury under the Laws of the United States of America that the foregoing is true and correct.

If required under the Rules, since this Petition is being submitted to remedy an error by the USPS, the Commissioner is authorized to charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of **\$130** to cover the cost of the petition fee. Any deficiency or overpayment should be charged or credited to Account No. 01-2745. Duplicate copies of this petition are enclosed.

Respectfully,
Paul Shala Henry
Zhimei Jaing
Hui Luo
Frederick Kenneth Schmidt, Jr.

By 
Gary H. Monka, Reg. No. 35290
Attorney for Applicants

Date: 4/3/02
AT&T Corp
P. O. Box 4110
Middletown, NJ 07748

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EL 924385509 US

ORIGIN (POSTAL USE ONLY)

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| Date in 10 23 | Postage \$ 16.70 | |
| Mo. Day Year 10 23 | <input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM | Return Receipt Fee |
| Time in 1608 | Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day | COD Fee |
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|---|---|--|--------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No. 2001-0099B | Total Pages 67 |
| | First Named Inventor or Application Identifier Hui Luo et al | | |
| | Express Mail Label No. EL924385509US | | |

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| | |
|--|---|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(submit an original, and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification [Total Pages 39] <small>(preferred arrangement set forth below)</small> - Descriptive title of invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings(if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 11] 4. Oath or Declaration [Total Pages 5] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application(37 CFR 1.63(d)) <small>(for continuation/divisional with Box 15 completed)</small> <small>[Note Box 15 below]</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy(identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 7. <input checked="" type="checkbox"/> Assignment Papers(cover sheet & document(s)) 8. <input type="checkbox"/> 37 CFR 3.73(b)Statement <input type="checkbox"/> Power of Attorney 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement(IDS)/PTO-1449 Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 14. <input checked="" type="checkbox"/> Other: Request And Certification Under 35 U.S.C. 122 (b) (2) (B) (i) |

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No:

Prior application information: Examiner:

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

16. CORRESPONDENCE ADDRESS

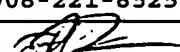
☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

| | | | | | |
|---------|--------------------------|-------|------------|----------|--------------|
| NAME | Samuel H. Dworetzky | | | | |
| ADDRESS | AT&T CORP. P.O. Box 4110 | | | | |
| CITY | Middletown | STATE | New Jersey | ZIP CODE | 07748-4110 |
| COUNTRY | United States of America | | | FAX | 732-368-6932 |

17. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-----------|---|--------|----------|
| NAME | Gary H. Monka | Reg. # | 35290 |
| TELEPHONE | 908-221-8525 | | |
| SIGNATURE |  | DATE | 10/29/01 |

"Express Mail" Mailing Label Number EL924385509US

Date of Deposit 10/29/01

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. , 20231

Shawn Mc Gee
 (Printed Name of Person Mailing Paper)


 (Signature of Person Mailing Paper)

Complete *down***FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

Application Number
 Filing Date
 First Named Inventor Hui Luo et al
 Examiner Name
 Group/Art Unit
 Attorney Docket No. 2001-0099B

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TOTAL AMOUNT OF PAYMENT \$780

OFFICE OF PETITIONS**METHOD OF PAYMENT (check one)**

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance
FEE CALCULATION**1. FILING FEE**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|--|----------|
| 101 | 740 | Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee | 740 |
| 106 | 330 | Design Filing Fee | |
| 108 | 740 | Reissue Filing Fee | |
| 114 | 169 | Provisional Filing Fee | |

SUBTOTAL (1) 740

2. CLAIMS

- ☐ Filing Under 37CFR 1.53 (b)
☐ CPA Under 37CFR 1.53 (d)
☐ Amendment

| | | Extra Claims | Fee from below | Fee Paid |
|---------------------------|-----------|--------------|----------------|----------|
| Total | 15 - 20 = | 0 | 18 | 0 |
| Ind. | 2 - 3 = | 0 | 84 | 0 |
| Multiple Dependent Claims | | | | 0 |

| Large Fee Code | Entity Fee(\$) | Fee Description |
|----------------|----------------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 84 | Independent Claims in excess of 3 |
| 104 | 280 | Multiple Dependent Claims |
| 109 | 84 | Reissue independent claims over original patent |
| 110 | 18 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|--|----------|
| 105 | 130 | Surcharge - late filing fee or oath | |
| 127 | 50 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | Non-English specification | |
| 147 | 2520 | For filing a request for reexamination | |
| 112* | 920 | Requesting publication of SIR prior to Examiner action | |
| 113* | 1840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 400 | Extension for reply within second month | |
| 117 | 920 | Extension for reply within third month | |
| 118 | 1440 | Extension for reply within fourth month | |
| 128 | 1960 | Extension for reply within fifth month | |
| 119 | 320 | Notice of Appeal | |
| 120 | 320 | Filing a brief in support of an appeal | |
| 121 | 280 | Request for oral hearing | |
| 138 | 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive - unavoidable | |
| 141 | 1280 | Petition to revive - unintentional | |
| 142 | 1280 | Utility issue fee (or reissue) | |
| 143 | 460 | Design issue fee | |
| 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | Submission of Information Disclosure Statement | |
| 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | Filing a submission after final rejection(37 CFR 1.129(a)) | |
| 149 | 740 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 40

SUBMITTED BY

Typed or Printed Name Gary H. Monka

Complete (if applicable)

Reg. Number 35290

Signature

Date

10/29/01

Deposit Account User ID



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| | | |
|--|----------------------|--|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Hui Luo et al |
| | Title: | A Virtual Single Account Client And Server System For Network Access And Management |
| | Atty. Docket Number | 2001-0099B |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U. S. C. 122(b).

10/29/01
Date

[Signature]
Signature

Gary H. Monka

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U. S. C. 122(b)(2)(B)(iii)).**

Attorney's Initials: *GHM*

COMMISSIONER FOR PATENTS, BOX 47



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Docket No. *2001-009913*
Applicant *Hai Luo et al*

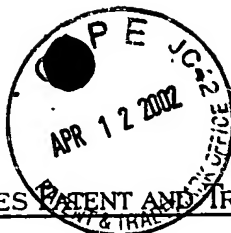
Application No.
Filing Date

APR 15 2002

- ☒ Specification # of Pages *33*
☒ Claims # of Pages *5*
☒ Abstract # of Pages *1*
☒ Drawings # of Sheets *11* ☐ Formal
☐ Response to O.A. (Type)
☐ Response to Notice to File Missing Parts
☐ Amendment
☐ Notice of Appeal
☐ Appeal Brief
☒ Other *Request and Certification*
Under 35 U.S.C. 122(b)(2)(B)(i)
☐ PCT Request Form
☐ PCT Demand Form
☐ PCT Invitation to Correct

- ☐ Assoc./Power of Attorney
☒ Assignment
☒ Recordation Form Cover Sheet
☐ IDS (Information Disclosure Statement)
☒ Oath and Declaration
☐ PTO 1449 Form with references
☐ Preliminary Amendment
☒ Transmittal Letter ☒ Fee Transmittal Form
☐ Issue Fee Transmittal
☐ Letter to Official Draftsman
☐ Extension of Time

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/017,533 | 10/23/2001 | 2673 | 740 | 2001-0099B | 11 | 15 | 2 |

CONFIRMATION NO. 1262

FILING RECEIPT



OC00000007311416

Samuel H. Dworetsky
AT&T CORP.
P.O. Box 4110
Middletown, NJ 07748-4110

Date Mailed: 01/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul Shala Henry, Holmdel, NJ;
Zhimei Jiang, Eatontown, NJ;
Hui Luo, Marlboro, NJ;
Frederick Kenneth Schmidt JR., Califon, NJ;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/269,919 02/20/2001

Foreign Applications

If Required, Foreign Filing License Granted 01/15/2002

Projected Publication Date: Request for Non-Publication Acknowledged

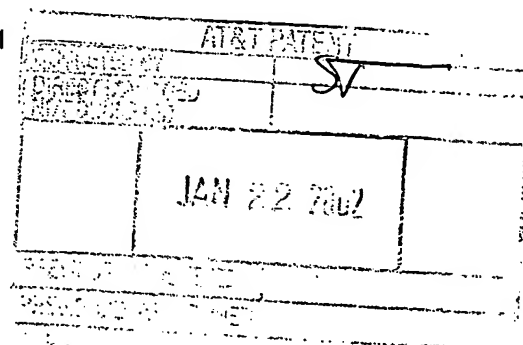
Non-Publication Request: Yes

Early Publication Request: No

Title

Virtual single account client and server system for network access and management

Preliminary Class



01-25-02 A08:57 1

178

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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 WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 10/017,533 | 10/23/2001 | Paul Shala Henry | 2001-0099B |

Samuel H. Dworesky
 AT&T CORP.
 P.O. Box 4110
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RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

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In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☒ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☒ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☒ A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- ☒ The date on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt.

- ☐ A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A copy of this notice MUST be returned with the reply.

R. BERMEI

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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